



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Luigi Tallone
Serial No.: 10/084,497
Filed: February 28, 2002
For: AN OPTICAL MULTIPLEXER/DEMULTIPLEXER
Art Unit: Not yet assigned
Examiner: Not yet assigned
Attorney Docket No.: 4481-046

Commissioner for Patents
Washington, D.C. 20231

RECEIVED
SEP 17 2002
TECHNICAL CENTER 2800

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed on February 28, 2002. This Information Disclosure Statement is being filed:

- _____ Within three (3) months of the filing date of the national application;
- _____ Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
- XXX** Before the mailing date of a first Office Action on the merits;
- _____ After the filing date or date of first Office Action, but before the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);
- _____ After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);
- _____ After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is

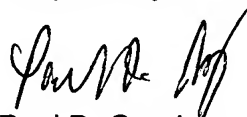
accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(i)(1); and

— After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the issuance of a final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(i)(1).

Also enclosed are copies of PCT Publication WO 99/12296 and article entitled, "Optical Add/Drop Multiplexer Based on UV-Written Bragg Grating In a Fused 100% Coupler."

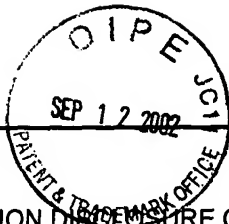
It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,



Paul D. Greeley
Reg. No. 31,019
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
Stamford, CT 06901-2682
(203) 327-4500

September 6, 2002



| | | |
|--|--------------------------|--------------------|
| FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) | Docket Number (Optional) | Application Number |
| | 4481-046 | 10/084,497 |
| | Applicant | |
| | Luigi Tallone | |
| Filing Date | Group Art Unit | |
| February 28, 2002 | Not yet assigned | |

U. S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|--------------------|------|------|-------|----------|-------------------------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|--------------------|---------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| | WO 99/12296 | 11/3/99 | PCT | | | x | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|--|---|
| | Bakhti et al. "Optical add/drop mulitplexer based on UV-written Bragg garting in a fused 100% coupler," Electronics Letters, 11 March 1997. |
| | |
| | |
| | |
| | |

| | |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.